35.C15334

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: Not Yet Assigned
KAZUYA ISHIWATA ET AL.	)	
	:	Group Art Unit: N.Y.A.
Application No.: N.Y.A.	)	
	:	
Filed: May 2, 2001	)	
	:	
For: ELECTRON SOURCE FORMING SUBSTRATE, ELECTRON SOURCE USING THE SUBSTRATE, AND IMAGE DISPLAY APPARATUS	)	August 23, 2001

Commissioner of Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. A copy of each listed document is also enclosed.

The concise explanation of relevance for the listed Japanese document may be found in its English-language abstract, submitted herewith, and in the specification at page 3, where this reference is cited. The explanation of relevance for the listed Japanese-language article may be found in its counterpart U.S. Patent, submitted herewith, and in the specification at page 2, where this reference is cited.

The Examiner's attention is also directed to the following U.S. Applications:

APPLICATION NO.	FILING DATE	GROUP ART UNIT
09/847,420	05/03/01	NYA
09/845,286	05/01/01	2879

A copy of each cited Application, as originally filed, is enclosed.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for Applicants

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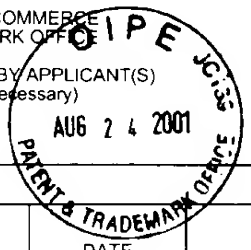
#6

FORM PTO 1449 (*modified*)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)



ATTY DOCKET NO.	APPLICATION NO.
35.C15334	NYA

APPLICANT	
KAZUYA ISHIWATA ET AL.	
FILING DATE	GROUP
MAY 2, 2001	NYA

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,208,071	03/27/01	Nishimura et al.			

Duplicate of Paper # 2 (illegible)

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION ~~YES/NO/~~ OR ABSTRACT
		10-241550	09/11/98	Japan			Abstract and ~~USP~~ 6208071B1
		0850892A	07/01/98	EPO			(In English)

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

		W.P. Dyke, Advances in Electronics and Electron Physics, 8, 89 (1956); Field Emission.
		C.A. Spindt, Journal of Applied Physics, 47, 5248 (1976); Physical properties of thin-film field emission cathodes with molybdenum cones.
		M.I. Elinson, Radio Engineering Electron Physics, 10, 1290 (1965); The Emission of Hot Electrons and the Field Emission of Electrons from Tin Oxide.
		G. Dittmer, Thin Solid Films, 9, 317 (1972); Electrical Conduction and Electron Emission of Discontinuous Thin Films.
		M. Hartwell, IEEE Transactions Electron Devices Conference, 519 (1975); Strong Electron Emission from Patterned Tin-Indium Oxide Thin Films.
		H. Araki, Journal of the Vacuum Society of Japan, Volume 26, No. 1, p. 22 (1983); Electroforming and Electron Emission of Carbon Thin Films. Abstract

EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form #62